



09/17/01

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PATENT  
Customer No. 22,852  
Attorney Docket No.: 7451.0001-17  
InterTrust Ref. No.: IT-5.3.1.1 (US)

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on September 14, 2001. Express Mail Label No.: EL589697306US

Signed: Mary McCauley  
Mary A. McCauley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Karl L. GINTER, et al. ) Group Art Unit: 2132  
)  
Serial No.: 09/764,370 ) Examiner: Unassigned  
)  
Filed: January 19, 2001 )  
)  
For: SYSTEMS AND METHODS FOR )  
SECURE TRANSACTION )  
MANAGEMENT AND ELECTRONIC )  
RIGHTS PROTECTION )

Attention: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO INCOMPLETE REPLY**

We respond to the Notice of Incomplete Reply dated August 28, 2001 (and original Notice to File Missing Parts dated April 27, 2001) by submitting, as requested, a substitute single-sided specification.

In a previous response dated July 20, 2001, Applicants submitted, as requested by the Examiner, substitute drawings with appropriate margins. Applicants now resubmit, as requested by the Examiner, another copy of the substitute drawings together with a statement that the drawings contain no new matter.

Applicants also enclose a Petition for Extension of Time and check for \$780.00, which represents a three-month extension of time fee in the amount of \$890.00 minus the one-month extension of time fee of \$110.00 paid with Applicant's first Response to Notice to File Missing Parts dated July 20, 2001.

09/17/01



Please associate the enclosed papers with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 14, 2001

By: *Linda J. Thayer*  
Linda J. Thayer  
Reg. No. 45,681

06-0916

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,370	01/19/2001	Karl L. Ginter	7451.0001-17

22852

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CONFIRMATION NO. 9046

## FORMALITIES LETTER



\*OC00000006484016\*

Date Mailed: 08/28/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - do not contain writing on only one side of the page. The drawings filed are unacceptable because:  
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.

A copy of this notice **MUST** be returned with the reply.

*Request withdrawn*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

AUG 29 2001

FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, LLP

Docketed 8/29/01 Attorney CPI/KJT

Case 07451-0001-17

Due Date 9/27/01 w/EXT

Action SUBSTITUTE SPECIFICATION

By

8-29-01